

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Anatoliy Savchenkov et al.  
Serial No.: Unassigned  
Filed : November 4, 2003  
Title : OPTICAL FILTER HAVING COUPLED WHISPERING-GALLERY-  
MODE RESONATORS

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicants call attention to the attached Information Disclosure Statement and documents listed on form PTO-1449. Copies of the US patents are not being provided per the waiver posted on the PTO website.

This filing is being made with a new patent application. No fee is required.

Consideration of the foregoing and enclosures plus the return of a copy of the enclosed form PTO-1449 with the Examiner's initials in the left column per MPEP 609 are earnestly solicited along with an early action on the merits.

CERTIFICATE OF MAILING BY EXPRESS MAIL

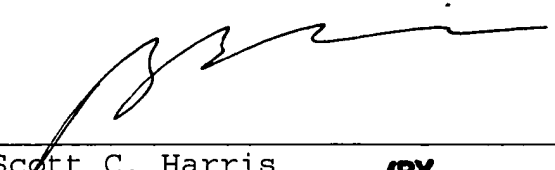
Express Mail Label No. EV 399311260 US

November 4, 2003  
Date of Deposit

Please apply any additional charges or credits to Deposit  
Account No. 06-1050.

Respectfully submitted,

Date: November 4, 2003



---

Scott C. Harris  
Reg. No. 32,030

**/BY  
BING AI  
REG. NO. 43,312**

Fish & Richardson P.C.  
USPTO Customer No. **20985**  
4350 La Jolla Village Drive, Suite 500  
San Diego, CA 92122  
Telephone: (858) 678-5070  
Facsimile: (858) 678-5099

10342843.doc

Substitute Form PTO-1449 (Modified)  <b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. <b>06618-923001</b>	Application No.
	Applicant <b>Anatoliy Savchenkov et al.</b>		
	Filing Date <b>November 4, 2003</b>	Group Art Unit	

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	2002/0018611	2/14/2002	Maleki et al.			
	AB	6389197	5/14/2002	Iltchenko et al.			
	AC	6473218	10/29/2002	Maleki et al.			
	AD	6487233	11/26/2002	Maleki et al.			
	AE	6567436	5/20/2003	Yao et al.			
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AQ	
	AR	
	AS	
	AT	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	